

Format of holding of specified securities

1. Name of Listed Entity: **NSE Clearing Limited**
2. Scrip Code/Name of Scrip/Class of Security: **Equity Shares**
3. Share Holding Pattern Filed under: ~~Reg. 31(1)(a)~~/**Reg. 31(1)(b)**/~~Reg. 31(1)(c)~~ **for the quarter ended September 30, 2021**
 - a. If under 31(1)(b) then indicate the report for Quarter ending
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)(VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held (b)	No.		As a % of total Shares held (b)
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-	-	4,50,00,000		

	er Group															
(B)	Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C)	Non Promoter- Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(D)	Trading Members and Associates of Trading Members	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-	-	4,50,00,000

*-includes 6 Nominees holding 8 equity shares each (jointly with NSE, NSE being the first holder) of Rs.10/- each.

Note: Number of shares available in the non-public category is 2,20,50,000 equity shares of Rs.10/- each (49%). Number of shares available for acquisition by persons resident outside India is 2,20,50,000 equity shares of Rs.10/- each (49%). However the above is subject to FDI policy, RBI and SEBI Regulations currently in force

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category and name of the shareholders	Entity type i.e. Promoter OR promoter group entity (except promoter)	PAN	No. of shareholders	No. of fully paid-up equity Shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Share holding % calculated as per SCRR, 1957	Number of voting rights held in each class of securities			No. of shares underlying outstanding convertible securities (as a percentage of diluted share capital)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	No. of locked in shares		No. of shares pledged or otherwise encumbered	No. of equity shares held in dematerialized form			
									No. of voting rights					Total as a % of Total voting rights	No.			As a % of total shares held	No.	As a % of total shares held
									Class X	Class Y	Total									

(c)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other NSE IL-Holding Company)	Promoter and Promoter Group		1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-	-	-	4,50,00,000

	Name (xy z...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub- Total (A)(1)		1	4,50,00, 000	-	-	4,50,00, ,000	100	4,50,0 0,000	-	100	100	-	-	-	-	-	4,50, 00,00 0	-
2)	Foreign																		
(a)	Individ uals (Non- Reside nt Individ uals/ Foreig n individu als)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xy z...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Gover nment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xy z...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Instituti ons	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xy z...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

	Z...)																			
(d)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz...)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)(VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No	As a % of total Shares held (b)	No		As a % of total Shares held (b)
									Class	Classes	Total								
(1)	Institutions								-										

(a)	Mutual Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Any Other (specify) Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

(2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

(3)	Non-institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat /unclaimed suspense account, voting rights which are frozen etc. **NA**

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV – Statement showing shareholding pattern of the Non-Promoter- Non Public Shareholder

Category & Name of shareholder (I)	PAN (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(I)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)(VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialised form
								No of Voting Rights	Total as a % of Total Voting rig					

											ht s		(A+B+C2)			r m (XIV)
														Cl as s e g : X	C l a s s e g : Y	
(1)	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
(a)	Name of DR Holder (if available)	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
(i)	abc,...	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
(i i)	efg....	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-

(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
(a)	Name (abc...	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-

Note
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Table V- Statement showing details of significant beneficial owners

Sr. No	Details of the significant beneficial owner (I)			Details of the registered owner (II)			Particulars of the shares in which significant beneficial interest is held by the beneficial owner (III)		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
-	-	-	-	-	-	-	-	-	-