

# NSE Clearing Limited

(Formerly known as National Securities Clearing Corporation Ltd.)

## Investor complaints against NSE Clearing Limited in Commodity derivatives segment

### 1. Data for December 2022

Sr.No.	Received from	Carried forward from previous month	Received during the month	Total Complaints	Resolved during the month*	Pending at the end of the month**		Average Resolution time^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Members	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL	NIL

\*Include complaints of previous months resolved in the current month, if any.

\*\*Include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

# NSE Clearing Limited

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## 2. Month-wise data for the current financial year (2022-2023)

Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	April 2022	NIL	NIL	NIL	NIL
2	May 2022	NIL	NIL	NIL	NIL
3	June 2022	NIL	NIL	NIL	NIL
4	July 2022	NIL	NIL	NIL	NIL
5	August 2022	NIL	NIL	NIL	NIL
6	September 2022	NIL	NIL	NIL	NIL
7	October 2022	NIL	NIL	NIL	NIL
8	November 2022	NIL	NIL	NIL	NIL
9	December 2022	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

## 3. Year-wise data (for 5 years on rolling basis)

Sr. No.	Year	Carried forward from previous year	Received	Resolved	Pending
1	2	3	4	5	6
1	2020-21	NIL	NIL	NIL	NIL
2	2021-22	NIL	NIL	NIL	NIL
3	2022-23	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

## NSE Clearing Limited

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### Investor complaints against NSE Clearing Limited for all segments (except Commodity derivatives segment)

#### 1. Data for December 2022

Sr.No.	Received from	Carried forward from previous month	Received during the month	Total Complaints	Resolved during the month*	Pending at the end of the month**		Average Resolution time <sup>^</sup>
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Members	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL	NIL

\*Include complaints of previous months resolved in the current month, if any.

\*\*Include total complaints pending as on the last day of the month, if any.

<sup>^</sup> Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### 2. Month-wise data for the current financial year (2022-2023)

Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	April 2022	NIL	NIL	NIL	NIL
2	May 2022	0	1	0	1
3	June 2022	1	0	0	1
4	July 2022	1	0	1	0
5	August 2022	NIL	NIL	NIL	NIL
6	September 2022	NIL	NIL	NIL	NIL
7	October 2022	NIL	NIL	NIL	NIL

## NSE Clearing Limited

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Sr. No.	Month	Carried forward from previous month	Received	Resolved	Pending
8	November 2022	NIL	NIL	NIL	NIL
9	December 2022	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	1	1	1	0

### 3. Year-wise data (for 5 years on rolling basis)

Sr. No.	Year	Carried forward from previous year	Received	Resolved	Pending
1	2	3	4	5	6
1	2020-21	NIL	NIL	NIL	NIL
2	2021-22	NIL	NIL	NIL	NIL
3	2022-23	NIL	1	1	NIL
	<b>Grand Total</b>	NIL	1	1	NIL