

Annexure-5

List of reports and changes due to interoperability

There are no changes in structure of any of the reports.

#	Segment	Report	Exchange-wise files
1	CM	Security wise Demat Delivery Statement (DFDS)	Common
2	CM	Security Wise Demat Receipt Statement (DFRS)	Common
3	CM	Client Allocation Details (CADT)	Common
4	CM	Deliveries Report (DLVR)	Common
5	CM	Security Shortages Report (SHRT)	Common
6	CM	Daily Obligation Report (DOBG)	Common
7	CM	Final Obligation Report (FOBG)	Common
8	CM	Net Daily Obligation Report (NDOBG)	Common
9	CM	Net Final Obligation Report (NFOBG)	Common
10	CM	Detailed Final Obligation Report (DFOBG)	Common
11	CM	Daily Funds Statement (DFND)	Common
12	CM	Bank Summary Report (DFNS)	Common
13	CM	Auctions Square off Debit/ Credit Report (ASQR)	Common
14	CM	Auction Difference Report (ADIF)	Common
15	CM	Security Master	Exchange-wise
16	CM	Corporate Action Report	Common
17	CM	Corporate Action Weekly Report	Common
18	CM	Settlement Calendar	Common
19	CM	Report for list of securities on which STT is not applicable	Common
20	CM	Report for list of Equity Oriented Funds on which prescribed STT is applicable	Common
21	CM	Custodian Trades Report (CUSTRD)	Exchange-wise
22	CM	Order Confirmation Report for custodian (ORDC)	Exchange-wise
23	CM	Trades Report (TRD)	Exchange-wise
24	CM	Securities Transaction Tax Report (MWST)	Exchange-wise
25	CM	Detail Margin Report (MG02)	Common
26	CM	VAR Margin File (VAR)	Common
27	CM	Securities category file (CATG)	Common
28	CM	Cross margin benefit and off-setting positions report (XM01)	Common
29	CM	Details of Collaterals submitted by Clearing Member (MG05)	Common
30	FO	Contracts Report (CN01)	Exchange-wise
31	FO	Trades Report (TR01 and TR02)	Exchange-wise
32	FO	STT file for trading member (STT01)	Exchange-wise
33	FO	STT file for clearing member (STT02)	Exchange-wise
34	FO	STT file delivery settlement for trading member (STT03)	Exchange-wise

#	Segment	Report	Exchange-wise files
35	FO	STT file delivery settlement for clearing member (STT04)	Exchange-wise
36	FO	Detailed position file for trading members (PS03)	Common
37	FO	Detailed position file for clearing members (PS04)	Common
38	FO	Exercise report for trading /clearing members (EX01 and EX02)	Common
39	FO	Assignment report for trading/clearing members (AS01 & AS02)	Common
40	FO	Bank report for next day obligations (BK01)	Common
41	FO	Bank summary report for clearing member (BK02)	Common
42	FO	Bank transaction report for clearing member (BK03)	Common
43	FO	Margin statement for clearing member (MG09)	Common
44	FO	Margin statement for trading member (MG10)	Common
45	FO	Margin payable statement for clearing member (MG11)	Common
46	FO	Detailed margin file for clearing member (MG12)	Common
47	FO	Detailed margin file for trading member (MG13)	Common
48	FO	Cross margin benefit report for clearing member (MG14)	Common
49	FO	Cross margin benefit report for trading member (MG15)	Common
50	FO	Detailed margin file for clearing member (MG18)	Common
51	FO	Offset positions report for trading member (XM01)	Common
52	FO	Offset positions report for clearing member (XM02)	Common
53	FO	Details of collaterals submitted by clearing member (CL01)	Common
54	FO	Daily SPAN Risk Parameter files	Common
55	FO	Portfolio File	Common
56	FO	Detail penalty for short/ non-reporting by trading members (PNL01)	Common
57	FO	Detail penalty for short/non reporting by clearing member (PNL02)	Common
58	FO	Penalty for short/ non-reporting by trading members affiliated to clearing member (PNL03)	Common
59	FO	Detailed margin report for Clearing member (MG12_P)	Common
60	FO	Detailed margin report for Trading member (MG13_P)	Common
61	FO	Intraday crystallised losses report of the Clearing Member (MG-16)	Common
62	FO	Intra-day crystallised losses report for trading member (MG-17)	Common
63	FO	Final obligation report for clearing member (FOBG01)	Common
64	FO	Final obligation report for trading member (FOBG02)	Common
65	FO	Security wise demat delivery statement for Clearing Member (DFDS)	Common
66	FO	Security wise demat receipt statement for Clearing Member (DFRS)	Common
67	FO	Client Allocation Details for Clearing Member (CADT)	Common
68	FO	Deliveries Report for Clearing Member (DLVR)	Common
69	FO	Security Shortages Report of Clearing Member (SHRT)	Common

#	Segment	Report	Exchange-wise files
70	FO	Auction Square off Debit/ Credit Report for Clearing Member (ASQR)	Common
71	FO	Auction Difference Report for Clearing Member (ADIF)	Common
72	FO	Delivery Margin Report for Clearing Members (DLMG01)	Common
73	FO	Delivery Margin Report for Trading Members (DLMG02)	Common
74	CD	Detailed Contracts Report (CN01)	Exchange-wise
75	CD	Detailed Trade Report for trading members (TR01) and detailed Trade Report for clearing members (TR02)	Exchange-wise
76	CD	Detailed Trade Report of Futures on 91 Day GOI T-bills for trading members (IRT_TR01) and detailed Trade Report for clearing members (IRT_TR02)	Exchange-wise
77	CD	Detailed Position file for trading members (PS03) and detailed Position file for clearing members (PS04)	Common
78	CD	Bank report to Clearing Members for next day obligations (BK01)	Common
79	CD	Bank summary report for clearing member (BK02)	Common
80	CD	Bank transaction report for clearing member (BK03)	Common
81	CD	Margin statement for clearing member (MG09)	Common
82	CD	Margin statement for trading member (MG10)	Common
83	CD	Margin payable statement for clearing member (MG11)	Common
84	CD	Detailed margin file for clearing member (MG12)	Common
85	CD	Detailed margin file for trading member (MG13)	Common
86	CD	Detailed margin file for clearing member (MG18)	Common
87	CD	Detailed margin file for clearing member (MG16)	Common
88	CD	Detailed margin file for trading member (MG17)	Common
89	CD	Details of collaterals submitted by clearing member (CL01)	Common
90	CD	Details of turnover of trading members for each month (TMTO)	To be discontinued
91	CD	Daily SPAN Risk Parameters files	Common
92	CD	Portfolio File	Common
93	CD	Exercise Report for Trading Member (EX01) & Clearing Member (EX02)	Common
94	CD	Assignment report for Trading Members (AS01) and Clearing Member (AS02)	Common
95	CD	Detail penalty for short/ non-reporting by trading members (PNL01)	Common
96	CD	Detail penalty for short/non reporting by clearing member (PNL02)	Common
97	CD	Penalty for short/ non-reporting by trading members affiliated to clearing member (PNL03)	Common